

FACTS**WHAT DOES ONEDIGITAL INVESTMENT ADVISORS LLC (“ODIA”) DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ■ Social Security number and Income ■ Account Balances and Transaction History ■ Retirement Assets and Employment Information
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons ODIA chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does ODIA share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes— information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	Yes	Yes

To limit our sharing	<ul style="list-style-type: none"> ■ Mail the form below <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 877-742-2021
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Mail-in Form

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to me.

Name		Mail to: OneDigital Investment Advisors LLC 11101 Switzer Road, Suite 200 Overland Park, Kansas 66210
Address		
City, State, Zip		

What we do

How does ODIA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does ODIA collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ Provide account information or Seek advice about your investments ■ Give us your contact information or Show your driver's license ■ Tell us about your investment or retirement portfolio <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Our affiliates include companies with a OneDigital name; financial companies such as Digital Insurance LLC and Centro Benefits Research LLC; nonfinancial companies, such as Resourcing Edge Services LLC, and others, such as EOI Enrollment Services LLC.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Nonaffiliates we share with are limited to unaffiliated broker-dealers that our employees are associated with (e.g., Osaic Wealth, Inc.; M Holdings Securities, Inc.; Valmark Securities, Inc.; and Capacity Securities, Inc.) and only for the purpose of supporting their required supervisory responsibilities over such individuals.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>ODIA doesn't jointly market.</i>

Other Important Information

Information for California Customers

If you are a California resident and the California Consumer Privacy Act (“CCPA”) applies to you, the following describes your rights with respect to your personally identifiable information (“PII”), how you may exercise them, and what ODIA will do in response. Your rights under the CCPA, however, do not apply to PII collected, processed, sold or disclosed pursuant to the Gramm-Leach-Bliley Act (Public Law 106-102) or the Fair Credit Reporting Act (12 CFR 1022).

If your PII is collected and processed by ODIA and you are an individual who resides in California, you may have the right to:

- Request that we disclose, free of charge, the categories and specifics of the PII we have collected about you, the sources from which the PII was collected (and/or, if applicable, sell or otherwise disclose to a third party), and the business purpose of collecting PII.
- Choose to opt-out of the sale of PII. ODIA, however, does not sell PII.
- Request that we delete your PII that we have collected. If the request is verified, we will comply with the request and delete any or all of your PII in our possession, including any of your PII in the possession of our service providers, unless otherwise restricted by law or regulation.

Information for North Dakota and Vermont Customers

If you are a North Dakota or Vermont resident, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties.